Sanjay Ghodawat University, Kolhapur

Established as State Private University under Govt. of Maharashtra. Act No XL, 2017

2020-21

EXM/P/09/00

Year and Program: TY B.Com.	**School of Commerce and Management**	**Department: Commerce**
Course Code: CBCF 308 2	**Course Title: Stock Market Operations**	**Semester : VI**
Day and Date: Monday,31.05.2021	**End Semester Examination**	**Time : 2 Hrs.** **Max Marks : 50**

Instructions: 1) All Questions are compulsory.

		Marks	Bloom's Level	CO
Q.1	**Answer the following (any Two)**–			
a)	Illustrate trading order flow process of investor who is buying stocks for long run. Use block diagram	8	2	1
b)	Securities Exchange Board of India is trying to improve services broker services to retail traders and investor at large. Discuss technological challenges faced by stock markets and brokers to cope up with SEBI's new rules in India	8	2	1
c)	Classify various charges applicable in a contract note issued by Broker after transaction.	8	2	2
Q.2	**Answer the following (any two)** –			
a)	If Profit and Loss account statements of last five years of ABC Co. Ltd. would be given to you. And if you want decide to invest in its equity or not, then explain method by applying financial ratios to Profit and Loss account statements for this decision.	8	3	3
b)	Discuss necessity of performing Industry analysis and then sequentially conducting company analysis for investment decision making	8	3	3

c) A statement below reveals many facts for investors. 8 3 4
Interpret facts which are available to make investment decision.

	Year 2019	Year 2020	Year 2021
PAT	2.3 million	3.3 million	3.9 million
Total Expenses	2.1 million	2.9 million	3.1 million
Cash in Hand	50 lacks	80 lacks	99 lacks

Q.3 **Answer the following (any two) –**

a) Mr. Z is a stock trader and has limited capital. He wish to trade on 5 minute time frame. He faces two choices first is to trade with options with spread and another is with futures. Justify your answer to Mr. Z 9 4 5

b) Evaluate equity derivatives and commodity derivatives on basis of Lot size, volume and dominant exchanges where they traded. 9 4 5

c) An investor is 60 years old; he wants to invest in mutual fund schemes for next ten years. Assuming that there are two funds available to him namely, Large cap equity fund, Midcap equity fund. Suggest suitable fund to him with justification. 9 4 6

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